

**ANNEX A**  
**GOVERNMENT PROCUREMENT POLICY BOARD**  
**Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form**

Name of Agency: Bureau of Corrections-Sablayan Prison and Penal Farm  
Date of Self Assessment: June 30, 2020

Name of Evaluator: CSINSP JAYFERSON G BON-  
Position: Acting Superintendent

| No.  | Assessment Conditions  | Agency Score     | APCPI Rating* | Comments/Findings to the Indicators and Subindicators | Supporting Information/Documentation (Not to be Included in the Evaluation)                              |
|--|--|------------------|---------------|---|--|
| <b>PILLAR I. LEGISLATIVE AND REGULATORY FRAMEWORK</b>                    |  |                  |               |   |  |
| <b>Indicator 1. Competitive Bidding as Default Method of Procurement</b> |  |                  |               |   |  |
| 1.a  | Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement   | 85.57%           | 2.00          |   | PMRs   |
| 1.b  | Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement   | 40.26%           | 2.00          |   | PMRs   |
| <b>Indicator 2. Limited Use of Alternative Methods of Procurement</b>    |  |                  |               |   |  |
| 2.a  | Percentage of shopping contracts in terms of amount of total procurement   | 1.17%            | 3.00          |   | PMRs   |
| 2.b  | Percentage of negotiated contracts in terms of amount of total procurement   | 13.12%           | 1.00          |   | PMRs   |
| 2.c  | Percentage of direct contracting in terms of amount of total procurement   | 0.13%            | 3.00          |   | PMRs   |
| 2.d  | Percentage of repeat order contracts in terms of amount of total procurement   | 0.00%            | 3.00          |   | PMRs   |
| 2.e  | Compliance with Repeat Order procedures  | n/a              | n/a           |   | Procurement documents relative to conduct of Repeat Order  |
| 2.f  | Compliance with Limited Source Bidding procedures  | n/a              | n/a           |   | Procurement documents relative to conduct of Limited Source Bidding                                      |
| <b>Indicator 3. Competitiveness of the Bidding Process</b>               |  |                  |               |   |  |
| 3.a  | Average number of entities who acquired bidding documents  | 1.00             | 0.00          |   | Agency records and/or PhilGEPS records   |
| 3.b  | Average number of bidders who submitted bids   | 1.00             | 0.00          |   | Abstract of Bids or other agency records   |
| 3.c  | Average number of bidders who passed eligibility stage   | 1.00             | 1.00          |   | Abstract of Bids or other agency records   |
| 3.d  | Sufficiency of period to prepare bids  | Fully Compliant  | 3.00          |   | Agency records and/or PhilGEPS records   |
| 3.e  | Use of proper and effective procurement documentation and technical specifications/requirements  | Fully Compliant  | 3.00          |   | Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents                |
|  |  | <b>Average I</b> | <b>1.91</b>   |   |  |
| <b>PILLAR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEMENT CAPACITY</b> |  |                  |               |   |  |
| <b>Indicator 4. Presence of Procurement Organizations</b>                |  |                  |               |   |  |
| 4.a  | Creation of Bids and Awards Committee(s)   | Fully Compliant  | 3.00          |   | Verify copy of Order creating BAC; Organizational Chart; and Certification of Training                   |
| 4.b  | Presence of a BAC Secretariat or Procurement Unit  | Fully Compliant  | 3.00          |   | Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training       |
| <b>Indicator 5. Procurement Planning and Implementation</b>              |  |                  |               |   |  |
| 5.a  | An approved APP that includes all types of procurement   | Compliant        | 3.00          |   | Copy of APP and its supplements (if any)   |
| 5.b  | Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service | Fully Compliant  | 3.00          |   | APP, APP-CSE, PMR  |
| 5.c  | Existing Green Specifications for GPPB-identified non-CSE items are adopted  | Compliant        | 3.00          |   | ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity |
| <b>Indicator 6. Use of Government Electronic Procurement System</b>      |  |                  |               |   |  |
| 6.a  | Percentage of bid opportunities posted by the PhilGEPS-registered Agency   | 100.00%          | 3.00          |   | Agency records and/or PhilGEPS records   |
| 6.b  | Percentage of contract award information posted by the PhilGEPS-registered Agency  | 0.00%            | 0.00          |   | Agency records and/or PhilGEPS records   |
| 6.c  | Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency  | 97.83%           | 3.00          |   | Agency records and/or PhilGEPS records   |

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**GOVERNMENT PROCUREMENT POLICY BOARD**  
**Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form**

Name of Agency: Bureau of Corrections-Sablayan Prison and Penal Farm  
Date of Self Assessment: June 30, 2020

Name of Evaluator: CSINSP JAYFERSON G BON-  
Position: Acting Superintendent

| No.   | Assessment Conditions   | Agency Score         | APCPI Rating* | Comments/Findings to the Indicators and Subindicators | Supporting Information/Documentation (Not to be Included in the Evaluation)  |
|---|---|----------------------|---------------|---|--|
| <b>Indicator 7. System for Disseminating and Monitoring Procurement Information</b>             |   |                      |               |   |  |
| 7.a   | Presence of website that provides up-to-date procurement information easily accessible at no cost   | Fully Compliant      | 3.00          |   | Identify specific procurement-related portion in the agency website and specific website links   |
| 7.b   | Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website                                   | Fully Compliant      | 3.00          |   | Copy of PMR and received copy that it was submitted to GPPB  |
|   |   | <b>Average II</b>    | <b>2.70</b>   |   |  |
| <b>PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES</b>                                  |   |                      |               |   |  |
| <b>Indicator 8. Efficiency of Procurement Processes</b>   |   |                      |               |   |  |
| 8.a   | Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs   | 96.45%               | 3.00          |   | APP (including Supplemental amendments, if any) and PMRs   |
| 8.b   | Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding  | 68.89%               | 0.00          |   | APP(including Supplemental amendments, if any)and PMRs   |
| 8.c   | Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe   | Fully Compliant      | 3.00          |   | Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services<br><br>Contracts with amendments and variations to order amount to 10% or less        |
| <b>Indicator 9. Compliance with Procurement Timeframes</b>                                      |   |                      |               |   |  |
| 9.a   | Percentage of contracts awarded within prescribed period of action to procure goods   | 100.00%              | 3.00          |   | PMRs   |
| 9.b   | Percentage of contracts awarded within prescribed period of action to procure infrastructure projects   | n/a                  | n/a           |   | PMRs   |
| 9.c   | Percentage of contracts awarded within prescribed period of action to procure consulting services   | n/a                  | n/a           |   | PMRs   |
| <b>Indicator 10. Capacity Building for Government Personnel and Private Sector Participants</b> |   |                      |               |   |  |
| 10.a  | There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis   | Fully Compliant      | 3.00          |   | Samples of forms used to evaluating procurement performance on top of or incorporated within the regular assessment for Procurement Personnel  |
| 10.b  | Percentage of participation of procurement staff in procurement training and/or professionalization program   | 100.00%              | 3.00          |   | Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted  |
| 10.c  | The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity                                  | Compliant            | 3.00          |   | Ask for copies of documentation of activities for bidders  |
| <b>Indicator 11. Management of Procurement and Contract Management Records</b>                  |   |                      |               |   |  |
| 11.a  | The BAC Secretariat has a system for keeping and maintaining procurement records  | Fully Compliant      | 3.00          |   | Verify actual procurement records and time it took to retrieve records (should be no more than two hours)<br>Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance. |
| 11.b  | Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records                             | Fully Compliant      | 3.00          |   | Verify actual contract management records and time it took to retrieve records should be no more than two hours  |
| <b>Indicator 12. Contract Management Procedures</b>   |   |                      |               |   |  |
| 12.a  | Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance | Fully Compliant      | 3.00          |   | Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz   |
| 12.b  | Timely Payment of Procurement Contracts   | On or before 30 days | 3.00          |   | Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts  |

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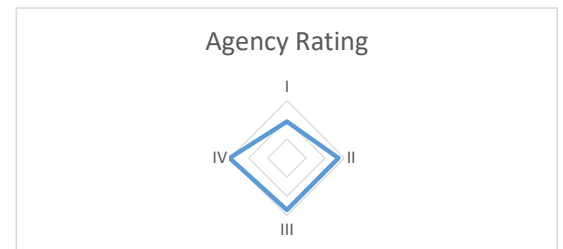
Name of Agency: Bureau of Corrections-Sablayan Prison and Penal Farm  
 Date of Self Assessment: June 30, 2020

Name of Evaluator: CSINSP JAYFERSON G BON-  
 Position: Acting Superintendent

| No.  | Assessment Conditions   | Agency Score             | APCPI Rating* | Comments/Findings to the Indicators and Subindicators | Supporting Information/Documentation (Not to be Included in the Evaluation)   |
|--|---|--------------------------|---------------|---|---|
|  |   | Average III              | 2.73          |   |   |
| <b>PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM</b>  |   |                          |               |   |   |
| <b>Indicator 13. Observer Participation in Public Bidding</b>              |   |                          |               |   |   |
| 13.a   | Observers are invited to attend stages of procurement as prescribed in the IRR  | Fully Compliant          | 3.00          |   | Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)                 |
| <b>Indicator 14. Internal and External Audit of Procurement Activities</b> |   |                          |               |   |   |
| 14.a   | Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits                                | Fully Compliant          | 3.00          |   | Verify copy of Order or show actual organizational chart showing IAU, audit reports, action plans and IAU recommendations   |
| 14.b   | Audit Reports on procurement related transactions   | Above 90-100% compliance | 3.00          |   | Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations  |
| <b>Indicator 15. Capacity to Handle Procurement Related Complaints</b>     |   |                          |               |   |   |
| 15.a   | The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements | Fully Compliant          | 3.00          |   | Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting measures to address procurement-related complaints |
| <b>Indicator 16. Anti-Corruption Programs Related to Procurement</b>       |   |                          |               |   |   |
| 16.a   | Agency has a specific anti-corruption program/s related to procurement  | Fully Compliant          | 3.00          |   | Verify documentation of anti-corruption program   |
|  |   | Average IV               | 3.00          |   |   |
| <b>GRAND TOTAL (Average I + Average II + Average III + Average IV / 4)</b> |   |                          | <b>2.58</b>   |   |   |

**Summary of APCPI Scores by Pillar**

| APCPI Pillars   | Ideal Rating | Agency Rating |
|---|--------------|---------------|
| I Legislative and Regulatory Framework                      | 3.00         | 1.91          |
| II Agency Institutional Framework and Management Capacity   | 3.00         | 2.70          |
| III Procurement Operations and Market Practices             | 3.00         | 2.73          |
| IV Integrity and Transparency of Agency Procurement Systems | 3.00         | 3.00          |
| <b>Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4</b>    | <b>3.00</b>  | <b>2.58</b>   |



**ANNEX B  
GOVERNMENT PROCUREMENT POLICY BOARD  
CONSOLIDATED PROCUREMENT MONITORING REPORT**

Name of Agency: Bureau of Corrections-Sablayan Prison and Penal Farm

Period Covered: CY 2019

| Column 1  | Column 2                     | Column 3                               | Column 4                 | Column 5                          | Column 6               | Column 7                                    | Column 8                                | Column 9  | Column 10                                   | Column 11                                | Column 12  | Column 13   | Column 14   |
|---|------------------------------|--|--------------------------|-----------------------------------|------------------------|---|---|---|---|--|--|---|---|
|   | Total Amount of Approved APP | Total Number of Procurement Activities | No. of Contracts Awarded | Total Amount of Contracts Awarded | No. of Failed Biddings | Total No. of Entities who Acquired Bid Docs | Total No. of Bidders who Submitted Bids | Total No. of Bidders who passed Eligibility Stage | No. of Bid Opportunities Posted at PhilGEPS | No. of Contract Award Posted at PhilGEPS | Total No. Of Contracts that incurred negative slippage | Total No. of contracts with amendments to order or variation orders | No. of Contracts Awarded within prescribed timeframes |
| <b>1. Public Bidding*</b>                             |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |   |
| 1.1. Goods  | 36,206,422.75                | 45                                     | 31                       | 35,543,613.85                     | 14                     | 45  | 45                                      | 45  | 45  | 0  | 0  | 0   | 31  |
| 1.2. Works  | 0.00                         | 0                                      | 0                        | 0.00                              | 0                      | 0   | 0                                       | 0   | 0   | 0  | 0  | 0   | 0   |
| 1.3. Consulting Services                              | 0.00                         | 0                                      | 0                        | 0.00                              | 0                      | 0   | 0                                       | 0   | 0   | 0  | 0  | 0   | 0   |
| Sub-Total   | 36,206,422.75                | 45                                     | 31                       | 35,543,613.85                     | 14                     | 45  | 45                                      | 45  | 45  | 0  | 0  | 0   | 31  |
| <b>2. Alternative Modes</b>                           |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |   |
| 2.1.1 Shopping (52.1 a above 50K)                     | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   | 0  |  |   |   |
| 2.1.2 Shopping (52.1 b above 50K)                     | 523,960.00                   | 3                                      | 3                        | 484,350.00                        |                        |   |   |   | 3   | 3  |  |   |   |
| 2.1.3 Other Shopping                                  | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   | 0  |  |   |   |
| 2.2.1 Direct Contracting (above 50K)                  | 55,993.00                    | 1                                      | 1                        | 55,993.00                         |                        |   |   |   |   | 0  |  |   |   |
| 2.2.2 Direct Contracting (50K or less)                | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   | 0  |  |   |   |
| 2.3.1 Repeat Order (above 50K)                        | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   | 0  |  |   |   |
| 2.3.2 Repeat Order (50K or less)                      | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   | 0  |  |   |   |
| 2.4. Limited Source Bidding                           | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   | 0   | 0  |  |   |   |
| 2.5.1 Negotiation (Common-Use Supplies)               | 567,051.96                   | 4                                      | 4                        | 567,051.96                        |                        |   |   |   |   |  |  |   |   |
| 2.5.2 Negotiation (Recognized Government Printers)    | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   |  |  |   |   |
| 2.5.3 Negotiation (TFB 53.1)                          | 721,168.00                   | 2                                      | 1                        | 260,075.00                        |                        |   |   |   | 2   | 1  |  |   |   |
| 2.5.4 Negotiation (SVP 53.9 above 50K)                | 5,579,305.00                 | 43                                     | 41                       | 5,191,430.00                      |                        |   |   |   | 43  | 41                                       |  |   |   |
| 2.5.5 Other Negotiated Procurement (Others above 50K) | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   | 0  |  |   |   |
| 2.5.6 Other Negotiated Procurement (50K or less)      | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   | 0  |  |   |   |
| Sub-Total   | 7,447,477.96                 | 53                                     | 50                       | 6,558,899.96                      |                        |   |   |   | 48  | 45                                       |  |   |   |
| <b>3. Foreign Funded Procurement**</b>                |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |   |
| 3.1. Publicly-Bid                                     | 0.00                         | 0                                      | 0                        | 0.00                              |                        | 0   | 0                                       | 0   |   |  |  |   |   |
| 3.2. Alternative Modes                                | 0.00                         | 0                                      | 0                        | 0.00                              |                        | 0   | 0                                       | 0   |   |  |  |   |   |
| Sub-Total   | 0.00                         | 0                                      | 0                        | 0.00                              |                        |   |   |   |   |  |  |   |   |
| <b>4. Others, specify:</b>                            |                              |  |                          |                                   |                        |   |   |   |   |  |  |   |   |
| <b>TOTAL</b>  | <b>43,653,900.71</b>         | <b>98</b>                              | <b>81</b>                | <b>42,102,513.81</b>              |                        |   |   |   |   |  |  |   |   |

\* Should include foreign-funded publicly-bid projects per procurement type

\*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotation were submitted

  
CSINSP ARSENIO E SICNAO  
Head, BAC Secretariat

  
CSUPT ELISEO J BELVIS  
BAC Chairperson

  
CSINSP JA YFS BON G BON-AS  
Acting Superintendent, SPPF

**Annex D**

**PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE**

Name of Agency: Bureau of Corrections-Sablayan Prison and Penal Farm

Period: FY 2019

| <b>Sub-Indicators</b> | <b>Key Area for Development</b>  | <b>Proposed Actions to Address Key Areas</b>   | <b>Responsible Entity</b>    | <b>Timetable</b> | <b>Resources Needed</b>                                 |
|-----------------------|--|--|------------------------------|------------------|---|
| 1.a                   | Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement | Set Competitive Bidding as default mode of Procurement   | BAC & End User               | Annually         | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 1.b                   | Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement | Set Competitive Bidding as default mode of Procurement   | BAC & End User               | Annually         | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 2.a                   | Percentage of shopping contracts in terms of amount of total procurement                                       | Adheres to the default mode of procurement   | BAC                          | Always           | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 2.b                   | Percentage of negotiated contracts in terms of amount of total procurement                                     | Adheres to the default mode of procurement   | BAC                          | Always           | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 2.c                   | Percentage of direct contracting in terms of amount of total procurement                                       | Adheres to the default mode of procurement   | BAC                          | Always           | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 2.d                   | Percentage of repeat order contracts in terms of amount of total procurement                                   | Adheres to the default mode of procurement   | BAC                          | Always           | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 2.e                   | Compliance with Repeat Order procedures  | Comply with Repeat Order Procedures as per stated in RA9184  | BAC                          | Always           | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 2.f                   | Compliance with Limited Source Bidding procedures  | Comply with Limited Source Bidding Procedures as per stated in RA9184                                      | BAC                          | Always           | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 3.a                   | Average number of entities who acquired bidding documents  | Posting and Advertising on different Gov't websites including social media websites and conspicuous places | BAC                          | Always           | Internet connectivity and ICT equipment                 |
| 3.b                   | Average number of bidders who submitted bids   | Posting and Advertising on different Gov't websites including social media websites and conspicuous places | BAC                          | Always           | Internet connectivity and ICT equipment                 |
| 3.c                   | Average number of bidders who passed eligibility stage   | Clarify the requirements to bidders even on posting/advertising of the project to be procure               | BAC                          | Always           | Internet connectivity and ICT equipment                 |
| 3.d                   | Sufficiency of period to prepare bids  | Allotment of 21 days to prepare Bidding Documents  | BAC                          | Always           | Bidding Documents/Posted Information on Websites        |
| 3.e                   | Use of proper and effective procurement documentation and technical specifications/requirements                | Conduct of Feasibility Study, Market Study prior to submission of PPMP<br>Securing procurement documents   | End User/BAC/BAC Secretariat | Always           | End User/Expert   |
| 4.a                   | Creation of Bids and Awards Committee(s)   | Designating qualified and trained BAC Members, BAC Secretariat/s and TWG Members                           | HOPE                         | Anually          | IPCR/PDS  |
| 4.b                   | Presence of a BAC Secretariat or Procurement Unit  | Designating qualified and trained BAC Secretariat/s  | HOPE                         | Anually          | IPCR/PDS  |

|      |  |   |  |             |   |
|------|--|---|--|-------------|---|
| 5.a  | An approved APP that includes all types of procurement   | PPMP and APP crafting with the presence of all concerned Personnel especially the end-users                           | Collective efforts of procuring entity concerned units | Anually     | APP/PPMP  |
| 5.b  | Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service | PPMP and APP crafting with the presence of all concerned Personnel especially the end-users                           | Collective efforts of procuring entity concerned units | Anually     | APP-CSE   |
| 5.c  | Existing Green Specifications for GPPB-identified non-CSE items are adopted  | Add Green Technical Specifications to the description of non-cse item/s to be procured                                | End User/BAC   | Always      | Particulars of Green Technical Specifications           |
| 6.a  | Percentage of bid opportunities posted by the PhilGEPS-registered Agency   | Maximize the use of this PhilGEPS Website in posting all the procurement oppurtunities and other posting requirements | BAC/Bac Secretariat                                    | Always      | Internet connectivity and ICT equipment                 |
| 6.b  | Percentage of contract award information posted by the PhilGEPS-registered Agency  | Updated posting of procurement status of different on-going Procurement Process                                       | BAC/Bac Secretariat                                    | Always      | Internet connectivity and ICT equipment                 |
| 6.c  | Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency  | Updated posting of procurement status of different on-going Procurement Process                                       | BAC/Bac Secretariat                                    | Always      | Internet connectivity and ICT equipment                 |
| 7.a  | Presence of website that provides up-to-date procurement information easily accessible at no cost  | Regular posting of different procurement oppurtunities at Bucor Website   | BAC/Bac Secretariat                                    | Always      | Internet connectivity and ICT equipment                 |
| 7.b  | Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website                                    | Regular posting of different procurement oppurtunities at Bucor Website   | BAC/Bac Secretariat                                    | Semi-Annual | Internet connectivity and ICT equipment                 |
| 8.a  | Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs  | Conduct Early Procurement Activities (EPA) for major projects and Continuous Monitoring of Procurement Activities     | Budget Office  | Annually    | Chart Activities/Plans                                  |
| 8.b  | Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding   | Set Competitive Bidding as default mode of Procurement  | BAC  | Always      | Compliance with RA9184 and its RIRR and GPPB Procedures |
| 8.c  | Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe  | Plan and put on document procurement activities achieved desired contract outcomes and objectives ahead of time       | Collective efforts of procuring entity concerned units | Always      | Chart of Plans/Steel Cabinet                            |
| 9.a  | Percentage of contracts awarded within prescribed period of action to procure goods  | Follow proper procurement process   | End User/BAC   | Always      | Guidance of RA9184 Handbook                             |
| 9.b  | Percentage of contracts awarded within prescribed period of action to procure infrastructure projects  | Follow proper procurement process   | End User/BAC   | Always      | Guidance of RA9184 Handbook                             |
| 9.c  | Percentage of contracts awarded within prescribed period of action to procure consulting services  | Follow proper procurement process   | End User/BAC   | Always      | Guidance of RA9184 Handbook                             |
| 10.a | There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis  | Regular evaluation of procurement staff's performance   | HOPE   | Always      | PMR/IPCR  |
| 10.b | Percentage of participation of procurement staff in procurement training and/or professionalization program  | Proper scheduling of related seminars, trainings, workshops, etc to Procurement Staffs for expertise and for updates  | HOPE   | Semi-Annual | Scheduling Chart  |
| 10.c | The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity                                   | Regular posting of different procurement oppurtunities at Bucor Website   | BAC/Bac Secretariat                                    | Always      | Internet connectivity and ICT equipment                 |

|      |   |  |  |          |   |
|------|---|--|--|----------|---|
| 11.a | The BAC Secretariat has a system for keeping and maintaining procurement records  | Designate qualified and trained personnel for the job  | HOPE   | Annually | IPCR/PDS                                |
| 11.b | Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records                             | Designate qualified and trained personnel for the job  | HOPE   | Annually | IPCR/PDS                                |
| 12.a | Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance | Designate qualified and trained personnel for the job  | HOPE   | Always   | IPCR/PDS                                |
| 12.b | Timely Payment of Procurement Contracts   | Timely processing of documents   | Collective efforts of procuring entity concerned units | Always   | Monitoring Logbook/Board/Chart          |
| 13.a | Observers are invited to attend stages of procurement as prescribed in the IRR  | Invite observers in all stages of procurement  | BAC/Bac Secretariat                                    | Always   | Invitation to Observers                 |
| 14.a | Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits  | Designate qualified and trained personnel for the job  | HOPE   | Annually | IPCR/PDS                                |
| 14.b | Audit Reports on procurement related transactions   | Conduct regular audit on procurement processes and transactions  | IAU  | Always   | Internal Audit Unit                     |
| 15.a | The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements   | Submit Decision on Protests to GPPB  | IAU  | Always   | Internet connectivity and ICT equipment |
| 16.a | Agency has a specific anti-corruption program/s related to procurement  | Advocate Anti-Corruption and Integrity Development drives and Promulgate policies and procedures in the detection and prevention of corruption in the procurement process. | HOPE   | Always   | ARTA/Internal Audit Unit                |

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

Name of Agency: Bureau of Corrections-Sablayan Prison and Penal Farm  
Name of Respondent: CSINP JAYFERSON G BON-AS

Date: 30 Jun 2020  
Position: Acting Superintendent

*Instruction: Put a check (✓) mark inside the box beside each condition/requirement met as provided below and then fill in the corresponding blanks according to what is asked. Please note that all questions must be answered completely.*

1. Do you have an approved APP that includes all types of procurement, given the following conditions? (5a)

- Agency prepares APP using the prescribed format
- Approved APP is posted at the Procuring Entity's Website  
please provide link: <https://bucor.gov.ph/transparency/SPPF-APP2019-2ndUpdate.pdf>
- Submission of the approved APP to the GPPB within the prescribed deadline  
please provide submission date: 01-Apr-19

2. Do you prepare an Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procure your Common-Use Supplies and Equipment from the Procurement Service? (5b)

- Agency prepares APP-CSE using prescribed format
- Submission of the APP-CSE within the period prescribed by the Department of Budget and Management in its Guidelines for the Preparation of Annual Budget Execution Plans issued annually  
please provide submission date: 31-Aug-18
- Proof of actual procurement of Common-Use Supplies and Equipment from DBM-PS

3. In the conduct of procurement activities using Repeat Order, which of these conditions is/are met? (2e)

- Original contract awarded through competitive bidding
- The goods under the original contract must be quantifiable, divisible and consisting of at least four (4) units per item
- The unit price is the same or lower than the original contract awarded through competitive bidding which is advantageous to the government after price verification
- The quantity of each item in the original contract should not exceed 25%
- Modality was used within 6 months from the contract effectivity date stated in the NTP arising from the original contract, provided that there has been a partial delivery, inspection and acceptance of the goods within the same period

4. In the conduct of procurement activities using Limited Source Bidding (LSB), which of these conditions is/are met? (2f)

- Upon recommendation by the BAC, the HOPE issues a Certification resorting to LSB as the proper modality
- Preparation and Issuance of a List of Pre-Selected Suppliers/Consultants by the PE or an identified relevant government authority
- Transmittal of the Pre-Selected List by the HOPE to the GPPB
- Within 7cd from the receipt of the acknowledgement letter of the list by the GPPB, the PE posts the procurement opportunity at the PhilGEPS website, agency website, if available and at any conspicuous place within the agency

5. In giving your prospective bidders sufficient period to prepare their bids, which of these conditions is/are met? (3d)

- Bidding documents are available at the time of advertisement/posting at the PhilGEPS website or Agency website;
- Supplemental bid bulletins are issued at least seven (7) calendar days before bid opening;



AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

Minutes of pre-bid conference are readily available within five (5) days.

6. Do you prepare proper and effective procurement documentation and technical specifications/requirements, given the the following conditions? (3e)

The end-user submits final, approved and complete Purchase Requests, Terms of Reference, and other documents based on relevant characteristics, functionality and/or performance requirements, as required by the procurement office prior to the commencement of the procurement activity

No reference to brand names, except for items/parts that are compatible with the existing fleet or equipment

Bidding Documents and Requests for Proposal/Quotation are posted at the PhilGEPS website, Agency website, if applicable, and in conspicuous places

7. In creating your BAC and BAC Secretariat which of these conditions is/are present?

For BAC: (4a)

Office Order creating the Bids and Awards Committee  
please provide Office Order No.: **AO No. 0803-s-2019**

There are at least five (5) members of the BAC  
please provide members and their respective training dates:

| Name/s                          | Date of RA 9184-related training |
|---------------------------------|----------------------------------|
| A. <u>Diomedes C. Dador Jr</u>  | <u>June 21, 2020</u>             |
| B. <u>Marshall H. Diomampo</u>  | <u>May 24, 2019</u>              |
| C. <u>Tim Jake D. Polilen</u>   | <u>May 24, 2019</u>              |
| D. <u>Waynestonne H. Driz</u>   | <u>May 31, 2019</u>              |
| E. <u>Arnulfo A. Jacinto</u>    | <u>May 31, 2019</u>              |
| F. <u>Christian M. Balbaira</u> | <u>May 24, 2019</u>              |
| G. _____                        | _____                            |

Members of BAC meet qualifications

Majority of the members of BAC are trained on R.A. 9184

For BAC Secretariat: (4b)

Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat  
please provide Office Order No.: **AO No. 0803-s-2019**

The Head of the BAC Secretariat meets the minimum qualifications  
please provide name of BAC Sec Head: **CTINSP ARSENIO E SICNAO**

Majority of the members of BAC Secretariat are trained on R.A. 9184  
please provide training date: **June 21, 2019**

8. Have you conducted any procurement activities on any of the following? (5c)

If YES, please mark at least one (1) then, answer the question below.

Computer Monitors, Desktop Computers and Laptops

Paints and Varnishes

Air Conditioners

Food and Catering Services

Vehicles

Training Facilities / Hotels / Venues

Fridges and Freezers

Toilets and Urinals

Copiers

Textiles / Uniforms and Work Clothes

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

Do you use green technical specifications for the procurement activity/ies of the non-CSE item/s?

Yes

No

9. In determining whether you provide up-to-date procurement information easily accessible at no cost, which of these conditions is/are met? (7a)

Agency has a working website  
please provide link: <https://www.bucor.gov.ph>

Procurement information is up-to-date

Information is easily accessible at no cost

10. In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)

Agency prepares the PMRs

PMRs are promptly submitted to the GPPB  
please provide submission dates: 1st Sem - July 14, 2019 2nd Sem - January 14, 2020

PMRs are posted in the agency website  
please provide link: <https://bucor.gov.ph/transparency/SPPF-PMR-1stSem2019final-07162019.pdf>

PMRs are prepared using the prescribed format

11. In planning of procurement activities to achieve desired contract outcomes and objectives within the target/allotted timeframe, which of these conditions is/are met? (8c)

There is an established procedure for needs analysis and/or market research

There is a system to monitor timely delivery of goods, works, and consulting services

Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts

12. In evaluating the performance of your procurement personnel, which of these conditions is/are present? (10a)

Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitment/s

Procuring entity communicates standards of evaluation to procurement personnel

Procuring entity and procurement personnel acts on the results and takes corresponding action

13. Which of the following procurement personnel have participated in any procurement training and/or professionalization program within the past three (3) years? (10b)

Date of most recent training: 21 Jun 2019

Head of Procuring Entity (HOPE)

Bids and Awards Committee (BAC)

BAC Secretariat/ Procurement/ Supply Unit

BAC Technical Working Group

End-user Unit/s

Other staff

14. Which of the following is/are practised in order to ensure the private sector access to the procurement opportunities of the procuring entity? (10c)

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

- Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year
- The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels

15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)

- There is a list of procurement related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

16. In determining whether the Implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)

- There is a list of contract management related documents that are maintained for a period of at least five years
- The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers
- The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel

17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)

- Agency has written procedures for quality control, acceptance and inspection of goods, services and works

Have you procured Infrastructure projects through any mode of procurement for the past year?

- Yes                       No

If YES, please answer the following:

- Supervision of civil works is carried out by qualified construction supervisors  
Name of Civil Works Supervisor: \_\_\_\_\_
- Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only)  
Name of CPES Evaluator: \_\_\_\_\_

18. How long will it take for your agency to release the final payment to your supplier/service provider or contractor/consultant, once documents are complete? (12b)                          5                     days

19. When inviting Observers for the following procurement activities, which of these conditions is/are met? (13a)

- A. Eligibility Checking (For Consulting Services Only)
  - B. Shortlisting (For Consulting Services Only)
  - C. Pre-bid conference
  - D. Preliminary examination of bids
  - E. Bid evaluation
  - F. Post-qualification
- Observers are invited to attend stages of procurement as prescribed in the IRR
  - Observers are allowed access to and be provided documents, free of charge, as stated in the IRR
  - Observer reports, if any, are promptly acted upon by the procuring entity

AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATORS (APCPI)  
QUESTIONNAIRE

20. In creating and operating your Internal Audit Unit (IAU) that performs specialized procurement audits, which set of conditions were present? (14a)

- Creation of Internal Audit Unit (IAU) in the agency  
Agency Order/DBM Approval of IAU position/s: Circular Letter No. 2008-5, April 14, 2008
- Conduct of audit of procurement processes and transactions by the IAU within the last three years
- Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report

21. Are COA recommendations responded to or implemented within six months of the submission of the auditors' report? (14b)

- Yes (percentage of COA recommendations responded to or implemented within six months)  
99 %
- No procurement related recommendations received

22. In determining whether the Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements, which of conditions is/are present? (15a)

- The HOPE resolved Protests within seven (7) calendar days per Section 55 of the IRR
- The BAC resolved Requests for Reconsideration within seven (7) calendar days per Section 55 of the IRR
- Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body

23. In determining whether agency has a specific anti-corruption program/s related to procurement, which of these conditions is/are present? (16a)

- Agency has a specific office responsible for the implementation of good governance programs
- Agency implements a specific good governance program including anti-corruption and integrity development
- Agency implements specific policies and procedures in place for detection and prevention of corruption